

**WEST**

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**Search Results - Record(s) 1 through 7 of 7 returned.**☐ 1. Document ID: US 20020174124 A1

L6: Entry 1 of 7

File: PGPB

Nov 21, 2002

PGPUB-DOCUMENT-NUMBER: 20020174124

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020174124 A1

TITLE: Spatially integrated relational database model with dynamic segmentation (SIR-DBMS)

PUBLICATION-DATE: November 21, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Haas, Robert P.	Knoxville	TN	US	
Robertson, Stephen H.	Knoxville	TX	US	

US-CL-CURRENT: 707/100

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	FIGS	Draw Desc	Image
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☐ 2. Document ID: US 20020151992 A1

L6: Entry 2 of 7

File: PGPB

Oct 17, 2002

PGPUB-DOCUMENT-NUMBER: 20020151992

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020151992 A1

TITLE: Media recording device with packet data interface

PUBLICATION-DATE: October 17, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Hoffberg, Steven M.	West Harrison	NY	US	
Hoffberg-Borghesani, Linda I.	Acton	MA	US	

US-CL-CURRENT: 700/83; 700/17, 700/18, 700/86, 700/87

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	FIGS	Draw Desc	Image
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☐ 3. Document ID: US 6289338 B1

L6: Entry 3 of 7

File: USPT

Sep 11, 2001

US-PAT-NO: 6289338

DOCUMENT-IDENTIFIER: US 6289338 B1

TITLE: Database analysis using a probabilistic ontology

DATE-ISSUED: September 11, 2001

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Stoffel; Killian	Columbier			CH
Wood; Robert L.	Rush	NY		

US-CL-CURRENT: 707/3

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWC	Draw Desc	Image
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☐ 4. Document ID: US 6094650 A

L6: Entry 4 of 7

File: USPT

Jul 25, 2000

US-PAT-NO: 6094650

DOCUMENT-IDENTIFIER: US 6094650 A

TITLE: Database analysis using a probabilistic ontology

DATE-ISSUED: July 25, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Stoffel; Killian	Columbier			CH
Wood; Robert L.	Rush	NY		

US-CL-CURRENT: 707/3

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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KWC	Draw Desc	Image
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☐ 5. Document ID: US 6088796 A

L6: Entry 5 of 7

File: USPT

Jul 11, 2000

US-PAT-NO: 6088796

DOCUMENT-IDENTIFIER: US 6088796 A

TITLE: Secure middleware and server control system for querying through a network firewall

DATE-ISSUED: July 11, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Cianfrocca; Francis	Long Island City	NY	11104	
Sohn; Adam H.	Rye Brook	NY	10573	

US-CL-CURRENT: 713/152; 707/10, 707/9, 709/225, 709/310, 709/328, 713/153, 713/154, 713/200, 713/201

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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KMNC	Draw Desc	Image
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☐ 6. Document ID: US 5895467 A

L6: Entry 6 of 7

File: USPT

Apr 20, 1999

US-PAT-NO: 5895467

DOCUMENT-IDENTIFIER: US 5895467 A

TITLE: Selectively switching memory access permission for manipulating data in a database

DATE-ISSUED: April 20, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Ubell; Michael	Oakland	CA		
Kelleman; Keith	Portland	OR		

US-CL-CURRENT: 707/9; 707/1, 707/10, 707/2, 707/8

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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KMNC	Draw Desc	Image
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☐ 7. Document ID: US 5857182 A

L6: Entry 7 of 7

File: USPT

Jan 5, 1999

US-PAT-NO: 5857182

DOCUMENT-IDENTIFIER: US 5857182 A

TITLE: Database management system, method and program for supporting the mutation of a composite object without read/write and write/write conflicts

DATE-ISSUED: January 5, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
DeMichiel; Linda Gail	Los Altos	CA		
Fuh; Gene Y. C.	San Jose	CA		
Jou; Michelle Mei-Chiou	San Jose	CA		
Lindsay; Bruce Gilbert	San Jose	CA		
Mattos; Nelson Mendonca	San Jose	CA		
Rielau; Serge Philippe	San Jose	CA		
Tran; Brian Thinh-Vinh	San Jose	CA		

US-CL-CURRENT: 707/3; 707/1, 707/2, 707/4, 707/5, 707/6, 707/7, 707/8

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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KMNC	Draw Desc	Image
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**WEST**[Generate Collection](#)[Print](#)**Search Results - Record(s) 1 through 2 of 2 returned.**☐ 1. Document ID: US 20020095399 A1

L9: Entry 1 of 2

File: PGPB

Jul 18, 2002

PGPUB-DOCUMENT-NUMBER: 20020095399

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020095399 A1

TITLE: System and methods providing automatic distributed data retrieval, analysis and reporting services

PUBLICATION-DATE: July 18, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Devine, Robert L.S.	Falkirk	MA	GB	
Stoner, Robert J.	Duxbury		US	

US-CL-CURRENT: 707/1

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMK	Draw Desc	Image
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☐ 2. Document ID: US 6553383 B1

L9: Entry 2 of 2

File: USPT

Apr 22, 2003

US-PAT-NO: 6553383

DOCUMENT-IDENTIFIER: US 6553383 B1

TITLE: Device for data analysis and organization

DATE-ISSUED: April 22, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Martin, Guillaume	F-75016 Paris			FR

US-CL-CURRENT: 707/102

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMK	Draw Desc	Image
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**WEST**

Your wildcard search against 10000 terms has yielded the results below.

***Your result set for the last L# is incomplete.***

The probable cause is use of unlimited truncation. Revise your search strategy to use limited truncation.

[Generate Collection](#)[Print](#)**Search Results - Record(s) 1 through 7 of 7 returned.**☐ 1. Document ID: WO 2003001754 A1 ZA 200108196 A

L17: Entry 1 of 7

File: DWPI

Jan 3, 2003

DERWENT-ACC-NO: 2003-149448

DERWENT-WEEK: 200325

COPYRIGHT 2003 DERWENT INFORMATION LTD

TITLE: Financial transaction communication method over Internet where computers for respective parties have artificial intelligence engines to perform offline functions on data

INVENTOR: KISIMOV, V; KISIMOV, V S

PRIORITY-DATA: 2001ZA-0005245 (June 26, 2001)

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
WO 2003001754 A1	January 3, 2003	E	000	H04L012/56
ZA 200108196 A	July 31, 2002		012	G06F000/00

INT-CL (IPC): G06 F 0/00; G06 N 5/02; H04 L 12/56; H04 L 29/06

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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FWC	Draw Desc	Image
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☐ 2. Document ID: WO 200284541 A2

L17: Entry 2 of 7

File: DWPI

Oct 24, 2002

DERWENT-ACC-NO: 2002-724025

DERWENT-WEEK: 200278

COPYRIGHT 2003 DERWENT INFORMATION LTD

TITLE: Financial portfolio strategy converting apparatus converting into set of tactics for fund managers has tactic generator engine labelling stock data with tactic tag

INVENTOR: CASASSA MONT, M; CHILD, M ; PREIST, C W

PRIORITY-DATA: 2001GB-0009074 (April 11, 2001)

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
WO 200284541 A2	October 24, 2002	E	027	G06F017/60

INT-CL (IPC): G06 F 17/60

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RWC	Draw Desc	Clip Img	Image
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☐ 3. Document ID: US 20030033228 A1 WO 200244986 A2

L17: Entry 3 of 7

File: DWPI

Feb 13, 2003

DERWENT-ACC-NO: 2002-490380

DERWENT-WEEK: 200314

COPYRIGHT 2003 DERWENT INFORMATION LTD

TITLE: Identifying system for a potential financial irregularity in a financial transaction has database and processor loaded with rules engine for determining potential for presence of financial irregularity in transaction

INVENTOR: BOSWORTH-DAVIES, R; BURD, P ; NORFOLK, R D

PRIORITY-DATA: 2000GB-0029229 (November 30, 2000)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
US 20030033228 A1	February 13, 2003		000	G06F017/60
WO 200244986 A2	June 6, 2002	E	056	G06F017/60

INT-CL (IPC): G06 F 17/60

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RWC	Draw Desc	Clip Img	Image
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☐ 4. Document ID: WO 200221371 A1 AU 200186828 A US 20020046053 A1

L17: Entry 4 of 7

File: DWPI

Mar 14, 2002

DERWENT-ACC-NO: 2002-415840

DERWENT-WEEK: 200251

COPYRIGHT 2003 DERWENT INFORMATION LTD

TITLE: Online insurance information management system has quotation engine which specifies cost to purchase financial products which provides solution to financial risks associated with profile data

INVENTOR: HARE, K T; MULLARNEY, J

PRIORITY-DATA: 2001US-0935141 (August 21, 2001), 2000US-229676P (September 1, 2000)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
WO 200221371 A1	March 14, 2002	E	046	G06F017/60
AU 200186828 A	March 22, 2002		000	G06F017/60
US 20020046053 A1	April 18, 2002		000	G06F017/60

INT-CL (IPC): G06 F 17/60

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RWC	Draw Desc	Clip Img	Image
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☐ 5. Document ID: WO 200161598 A1 AU 200138187 A AU 200136955 A

L17: Entry 5 of 7

File: DWPI

Aug 23, 2001

DERWENT-ACC-NO: 2001-514821

DERWENT-WEEK: 200176

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TITLE: Financial service application process system e.g. for consumer credit card accounts, receives application data by interface to process it using decision engineINVENTOR: ALLEN, B J; BUROKER, B A ; FLOOD, J P ; GENTZ, S A ; LIDMAN, G M ;  
TEICHMAN, WPRIORITY-DATA: 2000US-0597299 (June 20, 2000), 2000US-182674P (February 15, 2000),  
2000US-0597298 (June 20, 2000), 2000US-0597297 (June 20, 2000)

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
WO 200161598 A1	August 23, 2001	E	021	G06F017/60
AU 200138187 A	August 27, 2001		000	G06F017/60
AU 200136955 A	August 27, 2001		000	G06F015/00

INT-CL (IPC): G06 F 15/00; G06 F 17/00; G06 F 17/21; G06 F 17/24; G06 F 17/60; H04 N 1/00

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMED	Draw Desc	Clip Img	Image
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☐ 6. Document ID: EP 897149 A1 US 6070152 A JP 11073321 A

L17: Entry 6 of 7

File: DWPI

Feb 17, 1999

DERWENT-ACC-NO: 1999-134333

DERWENT-WEEK: 200033

COPYRIGHT 2003 DERWENT INFORMATION LTD

TITLE: Software development framework for business applications - has non-financial and financial object base classes and generic data conversion engine to map between class instances defined by application

INVENTOR: CAREY, J; CARLSON, B ; DAHL, T ; GRASER, T ; NILSSON, A ; PASCH, M

PRIORITY-DATA: 1997EP-0114039 (August 14, 1997)

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
EP 897149 A1	February 17, 1999	E	013	G06F009/46
US 6070152 A	May 30, 2000		000	G06F017/60
JP 11073321 A	March 16, 1999		010	G06F009/44

INT-CL (IPC): G06 F 9/06; G06 F 9/44; G06 F 9/46; G06 F 17/60

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMED	Draw Desc	Clip Img	Image
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☐ 7. Document ID: JP 08180119 A

L17: Entry 7 of 7

File: DWPI

Jul 12, 1996

DERWENT-ACC-NO: 1996-376006

DERWENT-WEEK: 199638

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TITLE: Home banking system - has external memory which registers future transaction  
schedule data of each transaction financial engine, and computers connected to  
terminal w.r.t. connection data

PRIORITY-DATA: 1994JP-0317914 (December 21, 1994)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
JP 08180119 A	July 12, 1996		009	G06F019/00

INT-CL (IPC): G06 F 19/00

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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None	Draw Desc	Clip Img	Image
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Term	Documents
FINANCIAL.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	35689
FINANCIALS.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	203
DATA\$	0
DATA.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	2525963
DATAA.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	136
DATAAASE.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	1
DATAAB.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	11
DATAABASE.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	3
DATAABCD.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	2
DATAABORT.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	4
((FINANCIAL AND DATA\$ AND ENGINE\$).TI.).USPT,PGPB,JPAB,EPAB,DWPI,TDBD.	7

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**Search Results - Record(s) 1 through 3 of 3 returned.**☐ 1. Document ID: US 20030018506 A1

L64: Entry 1 of 3

File: PGPB

Jan 23, 2003

PGPUB-DOCUMENT-NUMBER: 20030018506

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030018506 A1

TITLE: Data processing system and method for analysis of financial and non-financial value creation and value realization performance of a business enterprise for provisioning of real-time assurance reports

PUBLICATION-DATE: January 23, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
McLean, Robert I.G.	Colborne		CA	
Anderson, Rodney J.	Cobourg		CA	

US-CL-CURRENT: 705/7

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	RWC	Draw Desc	Image
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☐ 2. Document ID: US 20030014290 A1

L64: Entry 2 of 3

File: PGPB

Jan 16, 2003

PGPUB-DOCUMENT-NUMBER: 20030014290

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030014290 A1

TITLE: Data processing system and method for analysis of financial and non-financial value creation and value realization performance of a business enterprise

PUBLICATION-DATE: January 16, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
McLean, Robert I.G.	Colborne		CA	
Anderson, Rodney J.	Cobourg		CA	

US-CL-CURRENT: 705/7

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	RWC	Draw Desc	Image
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☐ 3. Document ID: EP 1049036 A2 JP 2001060235 A

L64: Entry 3 of 3

File: DWPI

Nov 2, 2000

DERWENT-ACC-NO: 2001-246580

DERWENT-WEEK: 200126

COPYRIGHT 2003 DERWENT INFORMATION LTD

TITLE: Internet system and method for web trading for performing financial transactions securely over public data network, e.g., Internet, provides secure communication protocol between Internet user interface and virtual private network

INVENTOR: JACOBS, D; MCMAINS, T ; SABATINO, G ; SAYEED, I

PRIORITY-DATA: 1999US-131555P (April 29, 1999)

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
EP 1049036 A2	November 2, 2000	E	021	G06F017/60
JP 2001060235 A	March 6, 2001		055	G06F017/60

INT-CL (IPC): G06 F 13/00; G06 F 17/60

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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ROAD	Draw Desc	Clip Img	Image
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Term	Documents
USER.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	993860
USERS.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	225525
GRAPHICAL.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	110776
GRAPHICALS.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	5
INTERFACE.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	676026
INTERFACES.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	156571
GUI.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	16827
GUIS.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	1932
INTERFAC\$	0
INTERFAC.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	60
(L63 AND ((USER NEAR5 INTERFAC\$) OR (GRAPHICAL USER INTERFACE) OR (GUI))).USPT,PGPB,JPAB,EPAB,DWPI,TDBD.	3

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**WEST**[Generate Collection](#)[Print](#)**Search Results - Record(s) 1 through 1 of 1 returned.**☐ 1. Document ID: EP 1160682 A1

L35: Entry 1 of 1

File: DWPI

Dec 5, 2001

DERWENT-ACC-NO: 2002-229623

DERWENT-WEEK: 200229

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TITLE: Temporal interval storage method for databases using an arbitrary index structure to support temporal range queries

INVENTOR: KRIEGEL, H; POETKE, M ; SEIDL, T

PRIORITY-DATA: 2000EP-0112031 (June 2, 2000).

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
EP 1160682 A1	December 5, 2001	E	035	G06F017/30

INT-CL (IPC): G06 F 17/30

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	RAW	Draw Desc	Image
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Term	Documents
DATA.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	2525963
DATUM.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	23467
STRUCTURE.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	2905764
STRUCTURES.DWPI,TDBD,EPAB,JPAB,USPT,PGPB.	701834
(34 AND (STRUCTURE NEAR5 DATA)).USPT,PGPB,JPAB,EPAB,DWPI,TDBD.	1
(L34 AND (DATA NEAR5 STRUCTURE)).USPT,PGPB,JPAB,EPAB,DWPI,TDBD.	1

**Display Format:**

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**WEST**[Generate Collection](#)[Print](#)**Search Results - Record(s) 1 through 14 of 14 returned.**☐ 1. Document ID: US 20030078867 A1

L51: Entry 1 of 14

File: PGPB

Apr 24, 2003

PGPUB-DOCUMENT-NUMBER: 20030078867

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030078867 A1

TITLE: Enhancing utility and diversifying model risk in a portfolio optimization framework

PUBLICATION-DATE: April 24, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Scott, Jason S.	San Carlos	CA	US	
Jones, Christopher L.	Redwood Shores	CA	US	
Shearer, James W.	San Francisco	CA	US	
Watson, John G.	Menlo Park	CA	US	

US-CL-CURRENT: 705/36[Full](#) [Title](#) [Citation](#) [Front](#) [Review](#) [Classification](#) [Date](#) [Reference](#) [Sequences](#) [Attachments](#)[RWD](#) [Draw Desc](#) [Image](#)☐ 2. Document ID: US 20030023580 A1

L51: Entry 2 of 14

File: PGPB

Jan 30, 2003

PGPUB-DOCUMENT-NUMBER: 20030023580

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030023580 A1

TITLE: Method and system for assimilating data from ancillary preumbra systems onto an enterprise system

PUBLICATION-DATE: January 30, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Braud, Kristopher P.	Baton Rouge	LA	US	
Schoonmaker, J. Eric	Baton Rouge	LA	US	

US-CL-CURRENT: 707/3[Full](#) [Title](#) [Citation](#) [Front](#) [Review](#) [Classification](#) [Date](#) [Reference](#) [Sequences](#) [Attachments](#)[RWD](#) [Draw Desc](#) [Image](#)☐ 3. Document ID: US 20020184133 A1

L51: Entry 3 of 14

File: PGPB

Dec 5, 2002

PGPUB-DOCUMENT-NUMBER: 20020184133  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020184133 A1

TITLE: Method and system for verifying the integrity of data in a data warehouse and applying warehoused data to a plurality of predefined analysis models

PUBLICATION-DATE: December 5, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Zangari, Peter J.	Fairfield	NJ	US	
Matero, John Anthony	New York	NY	US	
Banerji, Sourabh	New York	NY	US	

US-CL-CURRENT: 705/36

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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☐ 4. Document ID: US 20020178364 A1

L51: Entry 4 of 14

File: PGPB

Nov 28, 2002

PGPUB-DOCUMENT-NUMBER: 20020178364  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020178364 A1

TITLE: Universal secure registry

PUBLICATION-DATE: November 28, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Weiss, Kenneth P.	Newton	MA	US	

US-CL-CURRENT: 713/182

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMK	Draw Desc	Image
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☐ 5. Document ID: US 20020138446 A1

L51: Entry 5 of 14

File: PGPB

Sep 26, 2002

PGPUB-DOCUMENT-NUMBER: 20020138446  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020138446 A1

TITLE: System and method for providing security for financial services terminals with a document driven interface

PUBLICATION-DATE: September 26, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Antonin, Thierry	Budapest	KS	HU	
Shutts, Todd	Overland Park		US	

US-CL-CURRENT: 705/67

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RWD	Draw Desc	Image
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☐ 6. Document ID: US 20020138431 A1

L51: Entry 6 of 14

File: PGPB

Sep 26, 2002

PGPUB-DOCUMENT-NUMBER: 20020138431

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020138431 A1

TITLE: System and method for providing supervision of a plurality of financial services terminals with a document driven interface

PUBLICATION-DATE: September 26, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Antonin, Thierry	Budapest	KS	HU	
Shutts, Todd	Overland Park		US	

US-CL-CURRENT: 705/42

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RWD	Draw Desc	Image
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☐ 7. Document ID: US 20020111888 A1

L51: Entry 7 of 14

File: PGPB

Aug 15, 2002

PGPUB-DOCUMENT-NUMBER: 20020111888

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020111888 A1

TITLE: Automated tax return with universal data import

PUBLICATION-DATE: August 15, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Stanley, Todd	San Diego	CA	US	
Breise, Devin W.	La Jolla	CA	US	
Riggs, Brian	San Diego	CA	US	
Rice, Matthew	Carlsbad	CA	US	
Weber, Jeffery	Ramona	CA	US	

US-CL-CURRENT: 705/31

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RWD	Draw Desc	Image
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☐ 8. Document ID: US 20020069220 A1

L51: Entry 8 of 14

File: PGPB

Jun 6, 2002

PGPUB-DOCUMENT-NUMBER: 20020069220  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020069220 A1

TITLE: REMOTE DATA ACCESS AND MANAGEMENT SYSTEM UTILIZING HANDWRITING INPUT

PUBLICATION-DATE: June 6, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Tran, Bao Q.	San Jose	CA	US	

US-CL-CURRENT: 715/503

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	RMG	Draw Desc	Image
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☐ 9. Document ID: US 20020032655 A1

L51: Entry 9 of 14

File: PGPB

Mar 14, 2002

PGPUB-DOCUMENT-NUMBER: 20020032655  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020032655 A1

TITLE: System and method for providing financial services terminals with a document driven interface

PUBLICATION-DATE: March 14, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Antonin, Thierry	Budapest	KS	HU	
Shutts, Todd	Overland Park		US	

US-CL-CURRENT: 705/43

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	RMG	Draw Desc	Image
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☐ 10. Document ID: US 6292787 B1

L51: Entry 10 of 14

File: USPT

Sep 18, 2001

US-PAT-NO: 6292787  
DOCUMENT-IDENTIFIER: US 6292787 B1

TITLE: Enhancing utility and diversifying model risk in a portfolio optimization framework

DATE-ISSUED: September 18, 2001

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Scott; Jason S.	Menlo Park	CA		
Jones; Christopher L.	Redwood Shores	CA		
Shearer; James W.	Palo Alto	CA		
Watson; John G.	Menlo Park	CA		

US-CL-CURRENT: 705/36

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMK	Draw Desc	Image
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☐ 11. Document ID: US 6202060 B1

L51: Entry 11 of 14

File: USPT

Mar 13, 2001

US-PAT-NO: 6202060

DOCUMENT-IDENTIFIER: US 6202060 B1

TITLE: Data management system

DATE-ISSUED: March 13, 2001

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Tran; Bao Q.	Houston	TX	77099	

US-CL-CURRENT: 707/3; 707/104.1

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMK	Draw Desc	Image
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☐ 12. Document ID: US 6157935 A

L51: Entry 12 of 14

File: USPT

Dec 5, 2000

US-PAT-NO: 6157935

DOCUMENT-IDENTIFIER: US 6157935 A

TITLE: Remote data access and management system

DATE-ISSUED: December 5, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Tran; Bao Q.	Houston	TX	77099	
Anthony; Craig A.	League City	TX	77573	

US-CL-CURRENT: 715/503; 382/187

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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RMK	Draw Desc	Image
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☐ 13. Document ID: US 6012044 A

L51: Entry 13 of 14

File: USPT

Jan 4, 2000



US-PAT-NO: 6012044

DOCUMENT-IDENTIFIER: US 6012044 A

TITLE: User interface for a financial advisory system

DATE-ISSUED: January 4, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Maggioncalda; Jeff N.	Menlo Park	CA		
Jones; Christopher L.	Foster City	CA		
Sharpe; William F.	Los Altos	CA		
Fine; Ken	Menlo Park	CA		
Tauber; Ellen	Palo Alto	CA		

US-CL-CURRENT: 705/36; 705/26, 705/27, 705/30

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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WORD	Draw Desc	Image
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☐ 14. Document ID: US 5918217 A

L51: Entry 14 of 14

File: USPT

Jun 29, 1999

US-PAT-NO: 5918217

DOCUMENT-IDENTIFIER: US 5918217 A

**\*\* See image for Certificate of Correction \*\***TITLE: User interface for a financial advisory system

DATE-ISSUED: June 29, 1999

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Maggioncalda; Jeff N.	Menlo Park	CA		
Jones; Christopher L.	Foster City	CA		
Sharpe; William F.	Los Altos	CA		
Fine; Ken	Menlo Park	CA		
Tauber; Ellen	Palo Alto	CA		

US-CL-CURRENT: 705/36; 705/38, 705/4

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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WORD	Draw Desc	Image
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